IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appellant(s): Wagner et al.

Examiner:

P. Gambel

Serial No.:

08/948,393

Art Unit:

1644

Filing Date:

November 8, 1999

For:

METHOD FOR TREATING AND PREVENTING ATHEROSCLEROSIS

WITH PSGL-1

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, P.O. 1450, Alexandria, VA. 22313-1450 on February 22, 2005.

Patricia McKenney

Commissioner for Patents P.O. 1450 Alexandria, VA. 22313-1450

DECLARATION UNDER RULE 132

Sir:

- I, Denisa D. Wagner, declare and state as follows:
- 1. I am a Professor in the Department of Pathology at Harvard Medical School, and a Senior Investigator at The CBR Institute for Biomedical Research, Inc., Boston, Massachusetts. My Curriculum Vitae is attached hereto as an Exhibit. I am also an inventor of the above-identified patent application. I consider myself to be an expert in the field of cardiovascular medicine and pathology, as reflected in my Curriculum Vitae, and I am well aware of the knowledge level of others skilled in this art.

- 2. I have reviewed the outstanding Office Action of October 21, 2004, in the above-identified patent application. I am also familiar with the claims of this application, as presently amended, which are directed to methods for treating or inhibiting atherosclerosis by decreasing the formation or growth of plaque on arterial walls. This is accomplished by administering PSGL-1, or selected variants thereof, to a subject over a prolonged period of time, i.e. months or years.
- 3. I am familiar with the references cited by the Examiner in the outstanding Office Action. In particular, I have reviewed the Cummings et al. reference (U.S. Patent No. 5,464,778), which I understand to be the primary reference cited in the Office Action.
- 4. The Cummings et al. reference is generally directed to inflammatory thrombotic conditions such as ischemia and reperfusion. In col. 19, line 64 to col. 20, line 5, the Cummings et al. reference makes the following disclosure relating to atherosclerosis and platelet-leukocyte interactions:

"Platelet-leukocyte interactions are believed to be important in atherosclerosis. Platelets might have a role in recruitment of monocytes into atherosclerotic plaques; the accumulation of monocytes is known to be one of the earliest detectable events during atherogenesis. Rupture of a fully developed plaque may not only lead to platelet deposition and activation and the promotion of thrombus formation, but also the early recruitment of neutrophils to an area of ischemia."

5. My interpretation of the above cited passage is as follows. Cummings et al. speculate that platelet-leukocyte interactions are important in atherosclerosis. In fact, it is now well established that the key in atherosclerotic lesion development is the direct binding of monocytes to endothelial cells, and the reference does not discuss this. Cummings et al. discusses events following plaque rupture. Such events include thrombus formation leading to ischemic injury causing neutrophil recruitment. This event occurs long after plaque formation which is subject of the present application. The claims of our application specify that the P-selectin is on endothelial cells. Endothelial cells coat the arterial wall, and are not part of the

circulatory system as are the platelets. The plaque rupture, thrombotic events and neutrophil recruitment to the ischemic area discussed in the reference are not part of the present application.

- 6. I believe that the present invention can be distinguished from the Cummings et al. reference in the following respects. The present invention is directed to the treatment of atherosclerosis by decreasing the formation or growth of plaque on arterial walls. Atherosclerosis is a chronic condition caused by many factors, primarily by excessive plasma cholesterol levels, and results in the deposition of lesions and plaque on arterial walls. The treatment of atherosclerosis requires the long term administration of a medication to a subject in order to result in a meaningful improvement of the condition. This contrast with the treatment of a thrombosis, as disclosed in the Cummings et al. reference, which requires the commencement of an immediate treatment regime in order to prevent the reoccurrence of a thrombotic attack.
- 7. I also believe that the ability to design a mimetic of PSGL-1 having similar inhibitory characteristics, i.e. the ability to inhibit P-selectin, would be within the skill of a person in the art. Such a mimetic would optimally be designed based on a similarity of charge and shape as stated in the present claims.
- 8. Based on my knowledge, training and experience, it is my opinion that the references cited in the outstanding Office Action would not teach or suggest the method for treating atherosclerosis as stated in the present claims of the above-identified patent application.

I further declare that statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Date: 2/18/05

Denisa D. Wagner, Ph.D.

CURRICULUM VITAE

DENISA D. WAGNER, Ph.D.

ADDRESS:

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PLACE OF BIRTH: Prague, Czechoslovakia; U.S. citizen

EDUCATION: Universite de Geneve, Switzerland - Biochemistry Diploma of Biochemistry, 1975, with distinction

Massachusetts Institute of Technology, Cambridge, MA

Biology - Ph.D., 1980

Harvard University, Cambridge, MA

M.A. (honorary), 1997

FACULTY POSITIONS:

Professor of Pathology, Harvard Medical School, Boston, MA. 1997-present.

Senior Investigator, The CBR Institute for Biomedical Research (formerly known as The Center for Blood Research), Boston, MA. 1994-present.

Associate Professor of Pathology, Harvard Medical School, Boston, MA. 1994-1997.

Associate Professor of Anatomy and Cellular Biology, Tufts University School of Medicine, Boston, MA. 1989-1994.

Associate Professor of Medicine, Tufts University School of Medicine and Member, Special and Scientific Staff, New England Medical Center, Boston, MA. 1987-1994.

Assistant Professor of Biophysics, University of Rochester School of Medicine and Dentistry, Rochester, New York. 1985-1987.

Assistant Professor of Medicine, University of Rochester School of Medicine and Dentistry, Rochester, New York. 1982-1987.

Senior Instructor in Medicine, University of Rochester School of Medicine and Dentistry, Rochester, New York. 1981-1982.

AWARDS:

Established Investigator Award, American Heart Association, Biosynthesis of von Willebrand protein by endothelial cells. 1986-1991.

XIth ISTH Congress award in recognition of an outstanding communication, 1987.

Gwendolyn J. Stewart Memorial Award to honor women in the biomedical sciences, 1998.

Special recognition award from the Council on Arteriosclerosis, Thrombosis and Vascular Biology, AHA, 1998

MERIT award, National Heart, Lung and Blood Institute, NIH, 1998-2008.

Sol Sherry Lecture, American Heart Association, 2004.

MAJOR COMMITTEE ASSIGNMENTS:

<u>University:</u>	
1991-1994	Member of the Graduate Advisory Committee of the Graduate Program in Cell,
	Molecular and Developmental Biology, Sackler School of Graduate Biomedical Sciences, Tufts University
1992-1994	Sackler School Committee on Programs and Faculty, Tufts University
1992-1994	Graduate Admission Committee of the Graduate Program in Cell, Molecular and
	Developmental Biology, Sackler School of Graduate Biomedical Sciences, Tufts
	University
1995-Present	Member of the Graduate Program in Biological and Biomedical Sciences,
	Harvard Medical School
1998-Present	Member, Committee for Immunology, Program in Immunology, Harvard
	Medical School
1999-2002	Member of the Faculty Fellowship Committee, Harvard Medical School
2001-2004	Member, Standing Committee on Promotions, Reappointments, and
	Appointments, Harvard Medical School
2003-Present	Elected Member, Harvard Medical School Faculty Council
2005-1 Tescrit	Diction Member, Harvard Michigan School Faculty Council

National and Regional:

Served on many review committees and panels for the National Institutes of Heath, American Heart Association, Juvenile Diabetes Foundation and American Red Cross.

Currently permanent member, NIH, NHLBI Thrombosis and Hemostasis Study Section (2002-2006)

MEMBERSHIPS, OFFICES, AND COMMITTEE ASSIGNMENTS IN PROFESSIONAL SOCIETIES:

1980-Present	American Society for Cell Biology
1982-Present	American Society of Hematology
1982-Present	International Society of Thrombosis and Haemostasis
1983-1997	Council on Thrombosis, American Heart Association
1985-Present	International Society of Thrombosis and Haemostasis,
	subcommittee on von Willebrand factor
1991-1996	American Heart Association, Vascular Wall Biology Study
	Committee

1992-Present	American Heart Association, Council on Thrombosis Executive Committee
1993-1995	American Heart Association, Council on Thrombosis Long-
	Range Planning Committee
1994-1996	American Heart Association, Council on Thrombosis
	Membership Committee (Chairman)
1994-Present	American Association for the Advancement of Science
1994-Present	North American Vascular Biology Organization (Founding Member)
1995-1998	American Society of Hematology, Scientific Subcommittee on
	Thrombosis & Vascular Biology
1997-Present	North American Vascular Biology Organization (Councilor)
1997-Present	Council on Arteriosclerosis, Thrombosis and Vascular Biology, American Heart
	Association (Fellow)
1998	Council of the Gordon Research Conferences (Member)
1998-Present	The Molecular Medicine Society (Member)
1999-Present	Boston Obesity Nutrition Research Center (Member)
2001-Present	National Hemophilia Foundation (Member)
2001-2005	American Society of Hematology, Scientific Committee on Thrombosis &
	Vascular Biology (Member)
2002-Present	Harvard Center for Neurodegeneration & Repair (Member)
2004-2010	Council of the International Society on Thrombosis and Haemostasis (Member)
2004-2005	Chair, Scientific Committee on Thrombosis and Vascular Biology, American
	Society of Hematology

EDITORIAL BOARDS:

1993-2004	Molecular Biology of the Cell
1994-1999	Journal of Clinical Investigation
1998-Present	Molecular Medicine
2003-2008	Blood

<u>PUBLICATIONS</u> DENISA D. WAGNER, Ph.D.

- 1. Hynes RO, Ali IU, Destree AT, Mautner V, Perkins ME, Senger DR, Wagner DD and Smith KK. A large glycoprotein lost from the surfaces of transformed cells. Ann NY Acad Sci 312:317-342, 1978.
- 2. **Wagner DD** and Hynes RO. Domain structure of fibronectin and its relation to function (disulfides and sulfhydryl groups). J Biol Chem <u>254</u>:6746-6754, 1979.
- 3. Hynes RO, Destree AT, Perkins ME and Wagner DD. Cell surface fibronectin and oncogenic transformation. J Supramolecular Str 11:95-104, 1979.
- 4. **Wagner DD** and Hynes RO. Topological arrangement of the major structural features of fibronectin. J Biol Chem 255:4304-4312, 1980.
- 5. Wagner DD, Ivatt R, Destree AT and Hynes RO. Similarities and differences between fibronectins of normal and transformed hamster cells. J Biol Chem 256:11708-11715, 1981.
- 6. **Wagner DD** and Hynes RO. Fibronectin coated beads are endocytosed by cells and align with microfilament bundles. Exp Cell Res 140:373-381, 1982.
- 7. Van De Water L III, **Wagner DD**, Crenshaw EB III and Hynes RO. Fibronectin-dependent endocytosis by macrophage-like (P388D₁) and fibroblastic (NIL 8) cells. <u>In</u>: Cellular Recognition. Glaser L, Frazier W and Gottlieb D (Eds.). New York: Alan R. Liss, 869-878, 1982.
- 8. Hynes RO, Destree AT and **Wagner DD**. Relationships between microfilaments, cell-substratum adhesion and fibronectin. Cold Spring Harbor Symposia on Quantitative Biology 46:659-669, 1982.
- 9. **Wagner DD**, Olmsted JB and Marder VJ. Immunolocalization of von Willebrand protein in Weibel-Palade bodies of human endothelial cells. J Cell Biol <u>95</u>:355-360, 1982.
- 10. Wagner DD and Marder VJ. Biosynthesis of von Willebrand protein by human endothelial cells: identification of a large precursor polypeptide chain. J Biol Chem 258:2065-2067, 1983.
- 11. **Wagner DD,** Urban-Pickering M and Marder VJ. von Willebrand protein binds to extracellular matrices independently of collagen. Proc Natl Acad Sci USA 81:471-475. 1984.
- 12. Sporn LA, Rubin P, Marder VJ and Wagner DD. Irradiation induces release of von Willebrand protein from endothelial cells in culture. Blood 64:567-570, 1984.
- 13. **Wagner DD** and Marder VJ. Biosynthesis of von Willebrand protein by human endothelial cells: processing steps and their intracellular localization. J Cell Biol 99:2123-2130, 1984.
- 14. Sporn LA, Chavin SI, Marder VJ and **Wagner DD.** Biosynthesis of von Willebrand protein by human megakaryocytes. J Clin Invest <u>76</u>:1102-1106, 1985.
- 15. Wagner DD, Mayadas T, Urban-Pickering M, Lewis BH and Marder VJ. Inhibition of disulfide bonding of von Willebrand protein by monensin results in small, functionally defective multimers. J Cell Biol 101:112-120, 1985.

- 16. Fay PJ, Kawai Y, **Wagner DD**, Ginsberg D, Bonthron D, Ohlsson-Wilhelm BM, Chavin SI, Abraham GN, Handin RI, Orkin SH, Montgomery RR and Marder VJ. Propolypeptide of von Willebrand factor circulates in blood and is identical to von Willebrand antigen II. Science 232:995-998, 1986.
- 17. Sporn LA, Marder VJ and **Wagner DD.** Inducible secretion of large biologically potent von Willebrand factor multimers. Cell <u>46</u>:185-190, 1986.
- 18. **Wagner DD,** Mayadas T and Marder VJ. Initial glycosylation and acidic pH in the Golgi apparatus are required for multimerization of von Willebrand factor. J Cell Biol 102:1320-1324, 1986.
- 19. **Wagner DD,** Lawrence SO, Ohlsson-Wilhelm BM, Fay PJ and Marder VJ. Topology and order of formation of interchain disulfide bonds in von Willebrand factor. Blood 69:27-32, 1987.
- Wagner DD, Fay PJ, Sporn LA, Sinha S, Lawrence SO and Marder VJ. Divergent fates of von Willebrand factor and its propolypeptide (von Willebrand antigen II) after secretion from endothelial cells. Proc Natl Acad Sci USA 84:1955-1959, 1987.
- 21. Sporn LA, Marder VJ and **Wagner DD.** von Willebrand factor released from Weibel-Palade bodies binds more avidly to extracellular matrix than that secreted constitutively. Blood <u>69</u>:1531-1534, 1987.
- 22. Sinha S and **Wagner DD.** Intact microtubules are necessary for complete processing, storage and regulated secretion of von Willebrand factor by endothelial cells. Eur J Cell Biol 43:377-383, 1987.
- 23. Ribes JA, Francis CW and Wagner DD. Fibrin induces release of von Willebrand factor from endothelial cells. J Clin Invest 79:117-123, 1987.
- 24. Mayadas TN, **Wagner DD** and Simpson PJ. von Willebrand factor biosynthesis and partitioning between constitutive and regulated pathways of secretion after thrombin stimulation. Blood <u>73</u>:706-711, 1989.
- 25. Handin RI and Wagner DD. von Willebrand factor: molecular and cellular biology. <u>In</u>: Progress in Hemostasis and Thrombosis (vol. 9). Coller B (Ed.). Philadelphia: W B Saunders, 233-259, 1989.
- 26. Roarke MC, Wagner DD, Marder VJ and Sporn LA. Temperature-sensitive steps in the secretory pathway for von Willebrand factor in endothelial cells. Eur J Cell Biol 48:337-343, 1989.
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- 28. Bonfanti R, Furie BC, Furie B and Wagner DD. PADGEM (GMP-140) is a component of Weibel-Palade bodies of human endothelial cells. Blood 73:1109-1112, 1989.
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- 30. Ribes JA, Ni F, **Wagner DD** and Francis CW. Mediation of fibrin-induced release of von Willebrand factor from cultured endothelial cells by the fibrin β chain. J Clin Invest 1989, <u>84</u>:435-442, 1989.
- 31. Mayadas TN and **Wagner DD.** In vitro multimerization of von Willebrand factor is triggered by low pH: importance of the propolypeptide and free sulfhydryls. J Biol Chem <u>264</u>:13497-13503, 1989.

- 32. Larsen E, Celi A, Gilbert GE, Furie BC, Erban JK, Bonfanti R, Wagner DD and Furie B. PADGEM protein: a receptor that mediates the interaction of activated platelets with neutrophils and monocytes. Cell 59:305-312, 1989.
- Wagner DD. Storage and secretion of von Willebrand factor. <u>In</u>: Role of Factor VIII and von Willebrand Factor. Zimmerman TS and Ruggeri ZM. (Eds.). New York: Marcel Dekker, pp. 161-180, 1989.
- Larsen E, Palabrica T, Sajer S, Gilbert GE, Wagner DD, Furie BC and Furie B. PADGEM-dependent adhesion of activated platelets to monocytes and neutrophils is mediated by a lineage-specific carbohydrate, lacto-N-fucopentaose III (CD15). Cell 63:467-474, 1990.
- 35. **Wagner DD.** Cell biology of von Willebrand factor. <u>In</u>: Annual Review of Cell Biology. Palade GE, Alberts BM and Spudich JS. (Eds). <u>6</u>:217-246, 1990.
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- 37. Mayadas TN and Wagner DD. von Willebrand factor biosynthesis and processing. Ann NY Acad Sci, 614:153-166, 1991.
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- 49. Subramaniam M, Koedam JA and Wagner DD. Divergent fates of P- and E-selectins after their expression on the plasma membrane. Molec Biol of the Cell 4:791-801, 1993.
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- Journet AM, Saffaripour S, Cramer EM, Tenza D, and Wagner DD. von Willebrand factor storage requires intact prosequence cleavage site. Eur J Cell Biol 60:31-41, 1993.
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- 55. **Wagner DD** and Hynes RO. Gene targeting of adhesion molecules in the vasculature. Series: Molecular genetics and gene therapy of cardiovascular diseases. Mockrin SC (Ed.) New York: Marcel Dekker, pp. 403-425, 1995.
- 56. Stone JP and Wagner DD. P-selectin-deficient mice: A model to study inflammation and metastasis. <u>In:</u>
 Series: Topics in Molecular Medicine Vol 1. Siess W, Lorenz R and Weber PC. (Eds.). New York: Raven Press, pp. 105-116, 1995.
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 DD. Blood cell dynamics in P-selectin-deficient mice. Blood 86:1106-1114, 1995.
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- 61. Wagner, DD. P-selectin chases a butterfly. Editorial. J Clin Invest 95:1955-1956, 1995.

- 62. Frenette PS, Johnson RC, Hynes RO and Wagner DD. Platelets roll on stimulated endothelium *in vivo*: An interaction mediated by endothelial P-selectin. Proc Natl Acad Sci USA <u>92</u>:7450-7454, 1995.
- 63. Yamada S, Mayadas TN, Yuan F, Wagner DD, Hynes RO, Melder RJ and Jain RK. Rolling in P-selectin deficient mice is reduced but not eliminated in the dorsal skin. Blood <u>86</u>:3487-3492, 1995.
- 64. Pinsky DJ, Naka Y, Liao H, Oz MC, **Wagner DD**, Mayadas TN, Johnson RC, Hynes RO, Heath M, Lawson CA and Stern DM. Hypoxia-induced exocytosis of endothelial cell Weibel-Palade bodies: A mechanism for rapid neutrophil recruitment following cardiac preservation. J Clin Invest <u>97</u>:493-500, 1996.
- 65. Mayadas TN, Mendrick DL, Brady HR, Tang T, Papayianni A, Assmann KJM, Wagner DD, Hynes RO and Cotran RS. Acute passive anti-glomerular basement membrane nephritis in P-selectin-deficient mice: Increased neutrophil influx and proteinuria and decreased lipoxin A₄ generation. Kidney Int 49:1342-1349,1996.
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- 67. Frenette PS, Mayadas TN, Rayburn H, Hynes RO and Wagner DD. Susceptibility to infection and altered hematopoiesis in mice deficient in both P-and E-selectins. Cell 84:563-574, 1996.
- 68. Tang T, Frenette PS, Hynes RO, Wagner DD and Mayadas TN. Cytokine-induced meningitis is dramatically attenuated in mice deficient in endothelial selectins. J Clin Invest <u>97</u>:2485-2490, 1996.
- 69. Frenette PS and Wagner DD. Adhesion Molecules Part 1. New Engl J Med 334:1526-1529, 1996.
- Frenette PS and Wagner DD. Adhesion Molecules Part II: Blood vessels and blood cells. New Engl J Med 335:43-45, 1996.
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- Johnson RC, Chapman SM, Dong ZM, Ordovas JM, Mayadas TN, Herz J, Hynes RO, Schaefer EJ and Wagner DD. Absence of P-selectin reduces fatty streak formation in mice. J Clin Invest <u>99</u>:1037-1043, 1997.
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- 74. Frenette PS and Wagner DD. Insights into selectin function from knockout mice. Thromb Haemost, State-of-the-Art Issue, 78:60-64, 1997.
- 75. Dong ZM, Gutierrez-Ramos JC, Coxon A, Mayadas TN and Wagner DD. A new class of obesity genes encodes leukocyte adhesion receptors. Proc Natl Acad Sci USA 94:7526-7530, 1997.
- 76. Walter UM, Ayer LM, Wolitzky BA, Wagner DD, Hynes RO, Manning AM and Issekutz AC. Characterization of a novel adhesion function blocking monoclonal antibody to rat/mouse P-selectingenerated in the P-selectin-deficient mouse. Hybridoma 16: 249-257, 1997.

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